

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2822  
Image

Applicant(s): Uhlenbrock et al. )  
 )  
Serial No.: 10/032,357 )  
Confirmation No.: 4965 )  
 )  
Filed: December 21, 2001 )  
 )  
For: METHODS FOR PLANARIZATION OF GROUP VII METAL-CONTAINING SURFACES  
USING OXIDIZING GASES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

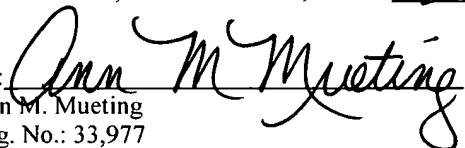
- ☒ An itemized return postcard.  
☐ A Petition for Extension of Time for \_\_ month(s) and a check in the amount of \$\_\_ for the required fee.  
☒ A Supplemental Information Disclosure Statement (2 pgs); copies of 1 applications; 1449 form (1 pg); and copies of 2 documents cited on the 1449 forms.  
☐ A check in the amount of \$\_\_, for \_\_.  
☐ A certified copy of a \_\_ application, Serial No. \_\_, filed \_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.  
☐ Other:  
☐ Amendment \_\_ No Additional fee is required. ☐ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$18 =	
Independent Claims				x \$86 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$290 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 2 day of March, 2004.

MUETING, RAASCH & GEBHARDT, P.A.  
Customer Number: 26813

By:   
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(LARGE ENTITY TRANSMITTAL UNDER RULE 1.8)



PATENT  
Docket No. 150.01110101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Uhlenbrock et al.	)	Group Art Unit:	2822
		)		
Serial No.:	10/032,357	)	Examiner:	Maria Guerrero
Confirmation No.:	4965	)		
		)		
Filed:	December 21, 2001	)		
		)		
For:	METHODS FOR PLANARIZATION OF GROUP VII METAL-CONTAINING SURFACES USING OXIDIZING GASES			

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Per M.P.E.P. § 609, the information cited in the present Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 form(s), marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Applicants also wish to bring the Examiner's attention to the following pending U.S. Applications, as well as any documents, Office Actions that may include rejections of similar claims, and any provisional U.S. patent applications referenced in the pending U.S. applications or in their file wrappers. A copy of each of the below-listed pending U.S. Patent Applications is provided herewith.

**Supplemental Information Disclosure Statement**

Page 2 of 2

Applicant(s): Uhlenbrock et al.

Serial No.: 10/032,357

Confirmation No.: 4965

Filed: December 21, 2001

For: METHODS FOR PLANARIZATION OF GROUP VII METAL-CONTAINING SURFACES USING  
OXIDIZING GASES**List of Pending Non-Published U.S. Patent Applications**

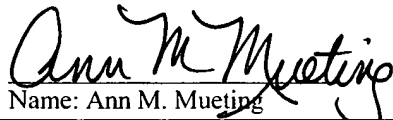
Applicant(s)	Application Number	Filing Date
Brian A. Vaartstra	10/771,050	February 3, 2004

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.

The Examiner is invited to contact Applicants' Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

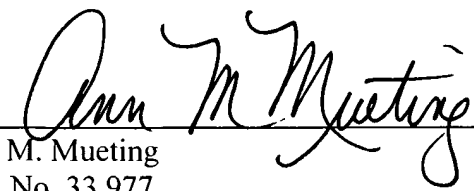
The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 2 day of March, 2004.

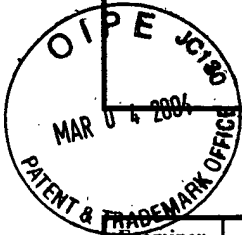
  
Name: Ann M. Mueting

March 2, 2004  
Date

Respectfully submitted for  
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**INFORMATION  
DISCLOSURE  
STATEMENT****Atty. Docket No.:** 150.01110101**Serial No.:** 10/032,357**Applicant(s):** Uhlenbrock et al.**Confirmation No.:** 4965**Application Filing Date:** December 21,  
2001**Group:** 2822**Information Disclosure Statement mailed:** March 2, 2004**U.S. PATENT DOCUMENTS**

Examiner Initial	Copy Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	X	6,069,080	05/30/00	James et al.			
	X	6,110,830	08/29/00	Skrovan et al.			
	X	6,278,153	08/21/01	Kikuchi et al.			
	X	6,527,818	03/04/03	Hattori et al.			
	X	US 2001/0039766 A1	11/15/01	Hattori et al.			
	X	US 2002/0050322 A1	05/02/02	Kunisawa et al.			
	X	US 2002/0151177 A1	10/17/02	Cherian et al.			
	X	US 2002/0039839 A1	04/04/02	Thomas et al.			

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Copy Enclosed	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	X	JP 2000 200782	07/18/00	Japan (English Abstract Included)				

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

Examiner Initial	Copy Enclosed	Document Description
		None

<b>EXAMINER</b>	<b>Date Considered</b>
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	